

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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8/29/02

PATENT

In Re Application of:

McLampy et al.

Serial No.: 09/941,229

Filed: August 28, 2001

For: SYSTEM AND METHOD FOR PROVIDING ENCRYPTION FOR REROUTING OF REAL-TIME MULTI-MEDIA FLOWS



Group Art Unit: 2661

Examiner: To Be Assigned

Docket No.: 050115-1050

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

- under 37 CFR 1.97(b), or
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either a:
 - Statement Under 37 C.F.R. 1.97(e), or
 - a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
- under 37 CFR 1.97(d) together with a:
 - Statement under 37 CFR 1.97(e), and
 - a \$180.00 petition fee set forth in 37 CFR 1.17(p).
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Enclosed is a check in the amount of \$_____. Please charge \$____ to deposit account _____. At any time during the pendency of this application, please charge any fees required to Deposit Account 20-0778 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 20-0778.

- Applicant(s) submit herewith *Form PTO 1449 - Information Disclosure Citation* together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.
- A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

**THOMAS, KAYDEN, HORSTEMEYER
& RISLEY, L.L.P.**

By:

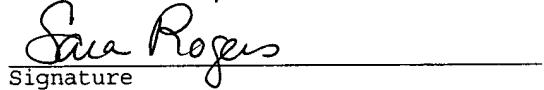

Peter A. Nieves, Reg. No. 48,173

100 Galleria Parkway, Suite 1750
Atlanta, Georgia 30339-5948
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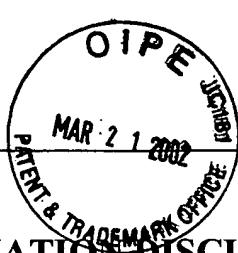
U.S. PATENT DOCUMENTS							
Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	Schulzrinne et al., "RTP: A Transport Protocol for Real-Time Applications," Network Working Group, January 1996, pp. 1-66.					
	B	Swale et al., "Requirements for the MIDCOM Architecture and Control Language," MIDCOM Working Group, Internet Draft, February 2001, p. 1-24.					
	C	Srisuresh et al., "Middlebox Communication Architecture and Framework," Network Working Group, Internet Draft, February 2001, pp. 1-37.					
	D	Martin et al., "SIP Through NAT Enabled Firewall Call Flows," Midcom Working Group, Internet Draft, February 2001, pp. 1-63.					
	E	Rosenberg et al., "Getting SIP through Firewalls and NATs," Internet Engineering Task Force, Internet Draft, February 22, 2000, pp. 1-57.					
	F	Eliot Lear, "A Middle Box Architectural Framework," Network Working Group, Internet Draft, January 31, 2001, pp. 1-12.					
	G	Rosenberg et al., "SIP Traversal through Residential and Enterprise NATs and Firewalls," Internet Engineering Task Force, Internet Draft, March 2, 2001, pp. 1-41.					
	H	Carpenter et al., "Middleboxes: Taxonomy and Issues," The Internet Society, Internet Engineering Task Force, Internet Draft, April 2001, pp. 1-24.					
	I	B. Biggs, "A SIP Application Level Gateway for Network Address Translation," Internet Draft, March 2000, pp. 1-16.					
	J	Kuthan et al., "Middlebox Communication: Framework and Requirements," Internet Engineering Task Force, Internet Draft, November 2000, pp. 1-23.					
	K	P. Srisuresh, "Framework for Interfacing with Network Address Translator," NAT Working Group, Internet Draft, April 2000, pp. 1-58.					

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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	L	Mart et al., "Firewall Control Requirements,"	MidCom Bof, Internet Draft, November 2000	pp. 1-16.			
	M	Melinda Shore, "H.323 and Firewalls: Problem Statement and Solution Framework,"	Internet Draft, February 2000	pp. 1-19.			
	N	Thernelius et al., "SIP Firewall Solution,"	SIP Working Group, Internet Draft, July 2000	pp. 1-9.			
	O	N. Freed, "Behavior of and Requirements for Internet Firewalls,"	Network Working Group, Internet Draft, October 2000	pp. 1-14.			
	P	D. Newman, "Benchmarking Terminology for Firewall Performance,"	Network Working Group, The Internet Society, August 1999	pp. 1-51.			
	Q	F. Finlayson, "IP Multicast and Firewalls,"	Network Working Group, The Internet Society, May 1999	pp. 1-23.			
	R	S. Bellovin, "Firewall-Friendly FTP,"	Network Working Group, February 1994	pp. 1-7.			
	S	Montenegro et al., "Sun's SKIP Firewall Traversal for Mobile IP,"	Network Working Group, The Internet Society, June 1998	pp. 1-45.			
	T	Gaynor et al., "Firewall Enhancement Protocol (FEP),"	Network Working Group, The Internet Society, April 2001	pp. 1-22.			
	U	Dr. Andrew Molitor, "A Firewall Solution for Voice Over IP,"	Aravox Report, Aravox Technologies	pp. 1-2.			
	V	"Aravox Firewall Benefits,"	Aravox Technologies	pp. 1-9.			

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	AC	Utz Roedig, "Security Analysis of IP-Telephony Scenarios," Presentation by Darmstadt University of Technology, pp. 1-28.					
	AD	Fredrik Thernelius, "SIP, NAT, and Firewalls," Master Thesis, Ericsson, May 2000, pp. 1-69.					
	AE	Steven M. Bellovin, "Distributed Firewalls" ;login: Magazine, Nov. 1999, pp. 37-39 (1-10).					
	AF	"Firewall ActiveX Control for Microsoft Windows," Distinct Corporation, 1998, pp. 1-45.					
	AG	Jiri Kuthan, "Firewall Control," Flow Processing Control Protocol, p. 1-3.					

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	AH	Alan Crosswell, "Advanced Networking Information," Columbia University Academic Information Systems, March 21, 2000, pp. 1-22.					
	AI	"Cisco Secure PIX Firewall Software v5.2," Cisco Data Sheet, pp. 1-7.					
	AJ	"Cisco Secure PIX 500 Firewalls," Cisco Products & Technologies, 2000, pp. 1-3.					
	AK	"Cisco Secure PIX Firewall," Internet Product Watch, April 12, 2001, pp. 1-2.					
	AL	"Media Firewall," www.marconi.com, 2000, pp. 1-4.					
	AM	"Media Firewall," www.marconi.com, 2001, pp. 1-3.					
	AN	"ATM Switch Network Modules: LAN, WAN, and Service Interfaces for TNX-210, TNX-1100, ASX-200BX, ASX-1000 and ASX-1200 ATM Switches," www.marconi.com, 2000, pp. 1-12.					
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	AQ	"Marconi Expands Networking Product Portfolio with Solutions for Emerging Enterprise Needs," www.marconi.com, July 24, 2000, pp. 1-2.					
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	AS	"Marconi Demonstrates Converged Distance-Learning Solutions at Educause 2000," www.marconi.com, October 10, 2000, pp. 1-2.					
	AT	"Firewall Security," www.marconi.com, October 30, 2000, pp. 1-2.					
	AU	"SA-400, IP/ATM Firewalling for ATM Networks," www.marconi.com, 2000, pp. 1-4.					
	AV	"Media Firewall," www.marconi.com, 2001, pp. 1-3.					
	AW	"NSX-9500, Routing, LANE, and Firewalling Services for ATM Backbone Networks," www.marconi.com, 2000, pp. 1-4					
	AX	"The New Public Network: Scalable, Flexible, PSTN Features, IN Features, Signalling Gateway," www.marconi.com, 1999, pp. 1-4.					
	AY	"Sphericall Voice Internetworking Multiplexer (VIM) Product Overview," www.marconi.com, 2001, p. 1.					
	AZ	"ServiceOn Accountant 2.0: Per-call Usage Based Billing for Differentiated Services," www.marconi.com, December 12, 2000, pp. 1-2					
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	BB	"ATM Switched Network Modules Product Overview," www.marconi.com, 2001, pp. 1-4.					
	BC	"Security is the Key," Marconi Interface, May 2000, p. 30.					

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O I P E J C N S P

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	BE	"ESX-2400 and ESX-4800 Firewall Acceleration Switches," www.marconi.com, 2001, pp. 1-8.					
	BF	"ESX-2400 and ESX-4800 Product Overview," www.marconi.com, 2001, pp. 1-3.					
	BG	"FSA Product Overview," www.marconi.com, 2001, pp. 1-2.					
	BH	Parthenios, "Hackers Beware," Telephony, June 18, 2001, pp. 1-2.					
	BI	"Aravox Expands IP Platform to Include VoIP," CommWeb.com, May 30, 2001, p. 1.					
	BJ	"Aravox Expands IP Network Services Platform to Carriers and xSP's Deploying VoIP," biz.yahoo.com, May 29, pp. 1-2.					
	BK	"Aravox Expands IP Network Services Platform to Carriers and Service Providers Deploying VoIP," TMCnet.com, May 29, 2001, pp. 1-2.					
	BM	"Solutions, " www.aravox.com/solutions, 2001, p. 1					
	BN	"The Aravox Network Services Platform Solution for Backbone Carriers," www.aravox.com/backbone, 2001, p. 1.					
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	BQ	"SIP ALG for Firewall Traversal (Application Level Gateway),"	www.microappliances.com, 2000, p. 1.				
	BR	"SIP Firewall/Outbound Proxy Server (ALG),"	www.microappliances.com, 2000, p. 1.				RECEIVED MAR 22 2002
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	BT	"Convergence Data and Telephony Platform,"	www.microappliances.com, 2000, p. 1.				
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